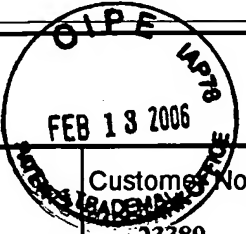
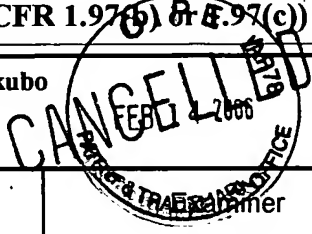


Ifw

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 17449	
In Re Application Of: Shuichi Ohkubo					
<div style="text-align: center;"></div>					
Application No. 10/781,999	Filing Date February 19, 2004	Examiner Unassigned	Customer No. 23389	Group Art Unit 1752	Confirmation No. 5322
Title: OPTICAL INFORMATION-RECORDING MEDIA AND OPTICAL INFORMATION-RECORDING/ REPRODUCTION APPARATUS					
<p style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17449

In Re Application of: Shuichi Ohkubo

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/781,999	February 19, 2004	Unassigned	23389	1752	5322

Title: **OPTICAL INFORMATION-RECORDING MEDIA AND OPTICAL INFORMATION-RECORDING/
REPRODUCTION APPARATUS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP
as described below.
- ☐ Charge the amount of _____
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____

February 7, 2006

(Date)

Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

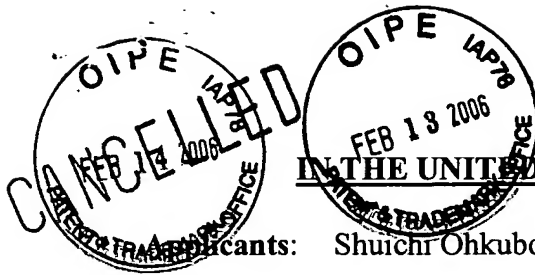
***This certificate may only be used if paying by deposit account.**

Signature

Dated: February 7, 2006

Paul J. Esatto, Jr.
Reg. No. 30,749
SCULLY, SCOTT, MURPHY & PRESSER, P.C.
400 Garden City Plaza - Ste. 300
Garden City, NY 11530
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PJE:ae

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shuichi Ohkubo
Examiner: Unassigned
Serial No.: 10/781,999
Art Unit: 1752
Filed: February 19, 2004
Docket: 17449
For: OPTICAL INFORMATION-RECORDING MEDIA
AND OPTICAL INFORMATION-RECORDING/
REPRODUCTION APPARATUS
Dated: February 7, 2006

Conf. No.: 5322

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

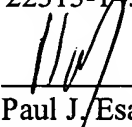
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent Application Publication US 2001/0036528A1 dated November 1, 2001 to Abiko et al.;
2. Japanese Laid Open Publication 01-180387 dated July 18, 1989 together with English language abstract;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 7, 2006

Dated: February 7, 2006



Paul J. Esatto, Jr.

3. Chinese Patent Publication CN 1320260A dated October 31, 2001 corresponding to WO 01/13370 A1 dated February 22, 2001.

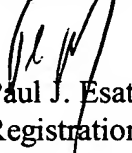
The references were cited in a Chinese Office Action dated November 11, 2005 received from the Chinese Patent Office. Applicant is submitting copies of reference numbers 2 and 3, together with a translation of the Office Action. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicant is not required to submit a copy of the above-cited U.S. Patent reference. The relevance of the above-identified references has been described in the Office Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this
Information Disclosure Statement.

Respectfully submitted,



Paul J. Esatto, Jr.
Registration No. 30,749

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PJE:ae

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; opacity: 0.5; pointer-events: none;"> OIPF FEB 13 2006 CANCELLED FEB 14 2006 PATENT & TRADEMARK OFFICE </div>				Docket Number (Optional) 17449		Application Number 10/781,999	
				Applicant(s) Shuichi Ohkubo			
				Filing Date February 19, 2004		Group Art Unit 1752	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	2001/0036528A1	11/1/01	Abiko et al.				

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		01-180387	7/18/89	Japan				
		CN 1320260A	10/31/01	China				
		WO 01/13370 A1	2/22/01	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	